



# Unveiling the origin of alkali metal promotion in CO<sub>2</sub> methanation over Ru/ZrO<sub>2</sub>

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## ABSTRACT

Alkali metals are used as promoters in a broad range of catalytic reactions involving supported metal catalysts, and the origin of their promotional effects has become one of the fundamental questions in heterogeneous catalysis. However, a thorough understanding of the promotional mechanism is still unclear. Combining experiments and theory, the effects of alkali metals on the reactivity of CO<sub>2</sub> methanation reaction over Ru/ZrO<sub>2</sub>, a crucial reaction for CO<sub>2</sub> utilization is explored. The conventionally assumed electron enrichment of Ru is not the decisive factor for the promotion. Rather, Na promotion facilitate the adsorption and dissociation of CO on the local interfacial Ru sites of Ru/ZrO<sub>2</sub>, which is the key step of CO<sub>2</sub> methanation process. The feasible dissociation of CO on the alkali metal promoted Ru/ZrO<sub>2</sub> originates from the enhanced electron back-donation from the interfacial Ru sites, which leads to more than an order of magnitude increase in CO<sub>2</sub> conversion activity.

## 1. Introduction

Catalytic hydrogenation of CO<sub>2</sub> into value-added hydrocarbons using hydrogen from renewable sources is highly desirable in combating the environment and energy crisis. Among them, power to gas (PtG) concept has drawn much attention, where H<sub>2</sub> generated from renewable energy is converted into CH<sub>4</sub> as an alternative resource of natural gas [1,2]. This technology, which was proposed firstly in 1902 by Sabatier, is gaining new currency again recently due to the surging demand of mitigating the climate change and tackling the energy depletion crisis [3]. Despite much efforts have been paid, this process still suffers from low activity and stability at mild conditions [4]. The complexity of the reaction also hinders the exploration of more efficient catalysts. Therefore, it is crucial to explore effective methods to optimize the complex process.

Since the first report about the promotional effects of alkali metals in heterogeneous catalysis in 1845 [5], the catalytic effect of alkali metals has been studied widely in various hydrogenation reactions [6–8]. It has been proposed that modification of alkali metal over metal catalysts often works as electron donor in modifying their electron structure and improving the hydrogenation reactivity. Thus the adsorption ability of metal center towards the reactants and intermediates could be affected

by the electron rich effect introduced by the alkali metal promoters [9]. Others hold the points that alkali metals interact with the metal centers by the oxygen atoms between them. Such an interaction can improve the stability of the catalysts by preventing the sintering of active metals, which may play an indirect role in the modification of active metal centers [10].

It is intriguing that the addition of alkali metals has variable effects in the CO<sub>2</sub> hydrogenation process. Heyl et al. reported that the adsorption strength of CO and the dissociation ability of H<sub>2</sub> are both affected by alkali metal addition on Rh/Al<sub>2</sub>O<sub>3</sub>. As a result, the desorption of CO is favored and the methanation of CO is suppressed [11]. According to An et al., CO<sub>2</sub> activation was accelerated in the presence of K on Cu<sub>x</sub>O/Cu (111) owing to the geometric and electronic effects introduced by K [12]. In contrast, the electrochemical promotion of Na<sup>+</sup> conducting support on CO<sub>2</sub> methanation was also reported on Ru porous catalyst films [13], which was attributed to the suppressed desorption of CO by the increasing potential for its production. Paraskevi reported that the population of CO species on Ru increases with the presence of alkali metals. The activity and selectivity toward methane both increase with the addition of alkali metals. [14]. Despite the previous reports on the effects of alkali metals in hydrogenation of CO<sub>2</sub>, no consistent

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agreements have been reached. The exact role of alkali metal in CO<sub>2</sub> hydrogenation on supported metal catalyst is still ambiguous.

Among the group VIII metals with high methanation activity, Ru was proven to be the most active methanation catalyst [15]. In addition, ZrO<sub>2</sub> has excellent adsorption capacity for CO<sub>2</sub> [16], which was recognized as a potential carrier for CO<sub>2</sub> hydrogenation reactions. Therefore, ZrO<sub>2</sub> supported subnanometric Ru shows excellent performances in CO<sub>2</sub> methanation [17]. Here, take Ru/ZrO<sub>2</sub> as an example, the effects of alkali metals on the hydrogenation of CO<sub>2</sub> on supported catalyst are explored in details. By probing the CO<sub>2</sub> hydrogenation process over alkali metal modified Ru/M-ZrO<sub>2</sub> (M=Li, Na, and K), the promotional effects of alkali metals on the electronic structure and evolution of intermediates were revealed by experimental and theoretical measurements. The explorations provide atomic level insights into how the alkali metals modulate the catalytic performance of Ru/ZrO<sub>2</sub> in CO<sub>2</sub> conversion, and pave new avenues to the engineering of supported metal catalysts with alkali metal promoters.

## 2. Experimental section

### 2.1. Preparation of Ru/ZrO<sub>2</sub> and Ru/M-ZrO<sub>2</sub> (M=Li, Na and K)

ZrO<sub>2</sub> was prepared by precipitation method [18]. Typically, ZrCl<sub>4</sub> was dissolved into 60 mL ultrapure water (0.16 mol/L) under stirring followed by adding ammonia solution dropwise to adjust the pH value of 10. The solution was heated to 50 °C and kept on stirring vigorously for 24 h. Then the suspension was filtered and washed with ultrapure water to reach the pH=7. The product was collected and dried under vacuum at 60 °C over night. Finally, ZrO<sub>2</sub> was obtained by calcining the solid under flowing air at 400 °C (heating rate of 5 °C/min) for 4 h.

Ru/ZrO<sub>2</sub> and alkali metal promoted Ru/M-ZrO<sub>2</sub> (M=Li, Na and K) were prepared by wet impregnation method [19]. The alkali metal modified ZrO<sub>2</sub> was prepared by impregnating 1 g prepared ZrO<sub>2</sub> powder into 50 mL aqueous solution containing the appropriate amount of LiNO<sub>3</sub> (30 mg), Na<sub>2</sub>CO<sub>3</sub> (23 mg), or K<sub>2</sub>CO<sub>3</sub> (30 mg), respectively, which corresponding to the equal nominal moles of alkali metal elements ( $4.35 \times 10^{-4}$  mol). The according nominal mass fraction of alkali metals relative to ZrO<sub>2</sub> is 0.30 wt%, 1.00 wt%, and 1.70 wt%, respectively. The resulting solution was stirred at 50 °C for 2 h and maintained at 80 °C until the water was evaporated. Then alkali metal promoted ZrO<sub>2</sub> was obtained after dried at 60 °C over night and calcinated in air at 400 °C (heating rate of 5 °C/min) for 4 h. Ru supported ZrO<sub>2</sub> was obtained by impregnating the prepared ZrO<sub>2</sub> or alkali metal promoted ZrO<sub>2</sub> with RuCl<sub>3</sub> solution by the same impregnation procedure. Exceptionally, the sample was calcined under flowing H<sub>2</sub> (50 mL/min) at 200 °C (heating rate of 10 °C/min) for 2 h.

### 2.2. Characterization

Power X-ray diffraction (XPD) was performed by a MiniFlex II diffractometer using Cu K $\alpha$  radiation ( $\lambda = 1.54 \text{ \AA}$ ) operating in the 2 $\theta$  range of 10–80°. High-resolution transmission electron microscopy (HRTEM) images and energy dispersive X-ray (EDX) mapping was recorded on a JEM-2010 instrument at 200 kV. The content of Ru and alkali metals was measured by inductively coupled plasma atomic emission spectroscopy (ICP-OES) by Thermo iCAP6300 spectrometer. The valence states of the sample were analyzed by X-ray photoelectric spectroscopy (XPS) using USA Thermo ESCALAB 250 (Al K $\alpha$  source,  $h\nu=1486 \text{ eV}$ ). The spectras were calibrated to the C 1 s position of 284.86 eV and analyzed by XPSPEAK41 software. CO temperature-programmed desorption (CO-TPD) and CO chemisorption were performed by a Micromeritics BELCAT-B apparatus using 50 mg catalyst. In the measurements, the catalyst was pretreated with H<sub>2</sub> under 300 °C for 1 h and purge with Ar for 30 min. After the temperature was reduced to 50 °C, CO was introduced for 1 h. Ar was used to remove the physical absorbed CO for 30 min. Then the spectra was collected by heating the

sample from 50 °C to 700 °C at the heating rate of 10 °C/min. In CO chemisorption measurement, after the sample was cooled down to 50 °C, CO (5%CO in He) was introduced repeatedly until saturated adsorption of CO was reached. The dispersion of Ru was calculated by assuming that the adsorption stoichiometry of CO and Ru was 2:1.

### 2.3. In-situ DRIFTS studies

According to the previous analysis procedure of CO<sub>2</sub> methanation [20], the interaction between H<sub>2</sub>/CO<sub>2</sub> (3:1 in volume) mixture and the catalysts was performed by in-situ diffuse reflectance infrared Fourier transform spectroscopy (DRIFTS) using Bruker Tensor II spectrometer equipped with the mercury cadmium telluride detector cooled down by liquid nitrogen. Before test, the catalyst was prereduced under H<sub>2</sub> (50 mL/min) at 200 °C for 2 h. After that, the catalyst was placed into the sample holder followed by heated and maintained at 200 °C under highly purity Ar (25 mL/min) for 2 h. Then the background scan was record. Finally, the flow was switched to CO<sub>2</sub>/H<sub>2</sub> mixture (1%CO<sub>2</sub> +3% H<sub>2</sub> in Ar) for 15 min and the differential spectra was collected for 1 h with 1 min interval. The measurements of CO adsorption were performed by the same procedure except for the flowing was switched to CO (3%CO in Ar). In the CO-DRIFTS experiments under vacuum, the reaction chamber was kept at 25 °C during the measurement. The reaction chamber was degassed under vacuum for 2 h. Then CO was dosed for 10 min (30 mL/min). The chamber was evacuated to remove the physical absorbed CO for 20 min and kept for vacuum for all the measurements.

### 2.4. Catalytic activity measurements

Typically, CO<sub>2</sub> hydrogenation reaction was operated in a 100 mL stainless-steel autoclave with temperature-control device. In a typical measurement, the catalyst was reduced under H<sub>2</sub>/Ar (H<sub>2</sub>/Ar=1:9) mixture with a flow rate of 30 mL/min for 2 h at 200 °C prior to reaction. The reaction chamber was flushed with feeding gas for three times. Then, 100 mg catalyst and 10 mL decalin was added to the chamber at room temperature (25 °C). Feeding gas of CO<sub>2</sub>/H<sub>2</sub> mixture (H<sub>2</sub>/CO<sub>2</sub>=3:1) was charged to the chamber to achieve specified pressure. The chamber was heated to the fix reaction temperature for specific time under magnetic stirring (600 rpm). Then the reaction chamber was cooled down in ice bath to room temperature. The product analysis procedure was similar to the reports previously [21,22]. The gas and liquid products were collected and analyzed by Shimadzu GC-2014 with a flame ionization detector. No liquid product was detected during all the tests. Therefore, the selectivity of product was calculated according to the composition of the gas products. Identity and quantitation of products were determined by external standard method calibrated by the standard gas mixture. The amount of input CO<sub>2</sub> was calculated by the online-calculators of the real gas state equations (<http://www.energy.psu.edu/tools/CO2-EOS/index.php>) based on the volume of the autoclave and the partial pressure of CO<sub>2</sub> in the charging mixture [23]. In the calculation of apparent activation barrier of CO<sub>2</sub> methanation, the reaction rate at different temperatures were calculated by fitting the conversion of CO<sub>2</sub> at even time intervals. During the cycling experiments, the solid catalyst was recycled by centrifugation and washed with deionized water for three times following by dried at 100 °C for 3 h in vacuum. Further details about the catalytic activity measurement is provided in the [supporting material](#). The turnover number (TON) of the catalysts was defined as moles of CO<sub>2</sub> converted per mole surface Ru atom. The moles of surface Ru atom were calculated based on the content of Ru measured by ICP and the Ru dispersion.

### 2.5. Computational details

Spin polarized density function theory calculations were employed in the Vienna initio simulation package (VASP) with the Perdew-Burke-Ernzerh (PBE) exchange-correlation function [24]. Project augmented

wave (PAW) method was used to describe the interaction between the ions and valence electrons. The plane wave basis set has a cut-off energy of 400 eV. The t-ZrO<sub>2</sub> (101) surface was constructed by 2 × 2 supercell with the dimensions of 12.82 × 10.93 × 22.84 Å. The supercell includes three Zr layers with O atom layers on both sides. The slab model with ten atoms Ru cluster on the 2 × 2 supercell was reported to give reasonable results in previous calculations [25]. The bottom Zr layer was fix in its bulk structure while the top two layers with the Ru cluster and adsorbate molecule were allowed to fully relax. The optimal adsorption of alkali metals was screened by comparing the adsorption energies of alkali metals on various sites of Ru/ZrO<sub>2</sub>. The model of Ru/M-ZrO<sub>2</sub> (M=Li, Na, K, and 2Na) was constructed by anchoring the corresponding alkali metals to the optimal adsorption site of Ru/ZrO<sub>2</sub>. The electronic and geometric convergence criteria were 10<sup>-5</sup> eV and 0.05 eV/Å, respectively. The vacuum thickness was set to 15 Å to ensure negligible interaction between periodic images [26]. To identify the interaction between adsorbed Na and Ru/ZrO<sub>2</sub> or the supported Ru cluster and ZrO<sub>2</sub>, charge density difference isosurface was calculated by subtracting the charge density of the individual components from the total Ru/N-a-ZrO<sub>2</sub> system, such as:

$$\Delta\rho_1 = \rho_{\text{total}} - \rho_{\text{Na}} - \rho_{\text{Ru/ZrO}_2}$$

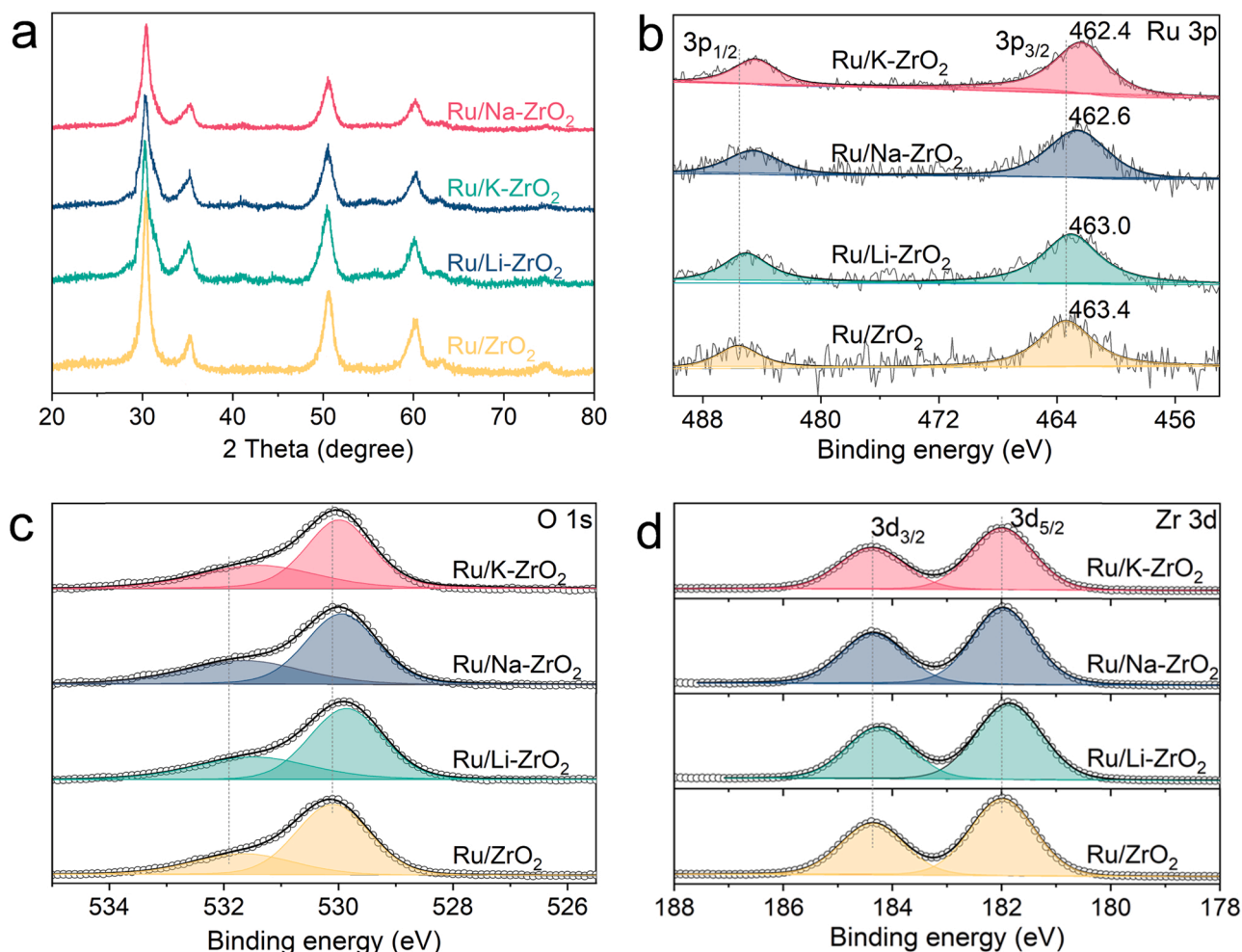
$$\Delta\rho_2 = \rho_{\text{total}} - \rho_{\text{Ru}} - \rho_{\text{ZrO}_2}$$

### 3. Results and discussion

#### 3.1. Catalyst characterization

To obtain the structure information of the alkali metal promoted Ru/ZrO<sub>2</sub> (Ru/M-ZrO<sub>2</sub>, M = Li, Na, and K), the characterization results are analyzed firstly. In Fig. 1a, the calculated lattice parameter of Ru/ZrO<sub>2</sub> ( $a=b=3.606$  Å,  $c=5.149$  Å and  $\alpha=\beta=\gamma=90^\circ$ ) allows the identification of tetragonal phase ZrO<sub>2</sub> ( $a=b=3.598$  Å,  $c=5.152$  Å and  $\alpha=\beta=\gamma=90^\circ$  for JCPDS 88-1007), corresponding to P42/nmc space group [27]. Moreover, no Ru phase was observed in all samples, indicating the high dispersion and small particle size of Ru species on ZrO<sub>2</sub>. The diffraction peaks position is nearly unaffected with the addition of different alkali metals. Therefore, the crystal phase of ZrO<sub>2</sub> is retained after the modification of alkali metal promoters on its surface. Owing to the feed ratio of Na is 1.00 wt%, the actual Na content over ZrO<sub>2</sub> surface could be lower. The signal of Na 1 s, Li 1 s, and K 2p orbital in the XPS spectra is weak (Fig. S1). The actual content of alkali metals in Ru/M-ZrO<sub>2</sub> (M=Li, Na and K) was further analyzed by ICP-OES (Table 1 and Table S1). The contents of Li, Na and K in the alkali metal promoted Ru/ZrO<sub>2</sub> are 0.23 wt%, 0.92 wt%, and 1.40 wt%, respectively, which are lower than the nominal values. It may be due to the loss in the preparation process. The loading amount of Ru is closed to the nominal ratio of 1.00 wt%. In addition, the surface area of Ru/ZrO<sub>2</sub> decreases slightly after the modification of alkali metals (Table 1).

The XPS spectras of Ru/ZrO<sub>2</sub> and alkali metal promoted Ru/ZrO<sub>2</sub>



**Fig. 1.** (a) XRD patterns of Ru/ZrO<sub>2</sub> and alkali metal promoted Ru/M-ZrO<sub>2</sub> (M=Li, Na and K). XPS spectra of (b) Ru 3p, (c) O 1 s, and (d) Zr 3d in Ru/ZrO<sub>2</sub> and alkali metal promoted Ru/M-ZrO<sub>2</sub> (M=Li, Na and K).



**Table 1**  
Physiochemical parameters of Ru/ZrO<sub>2</sub> and Ru/M-ZrO<sub>2</sub> (M=Li, Na and K).

Catalyst	Content of Ru (wt%)	Content of alkali metals (wt%)	Particle size of Ru (nm)	BET surface area (m <sup>2</sup> /g)
Ru/ZrO <sub>2</sub>	0.91	—	1.10	95.63
Ru/Li-ZrO <sub>2</sub>	0.89	0.23	1.16	90.50
Ru/Na-ZrO <sub>2</sub>	0.95	0.92	1.40	83.49
Ru/K-ZrO <sub>2</sub>	0.97	1.40	1.34	86.01

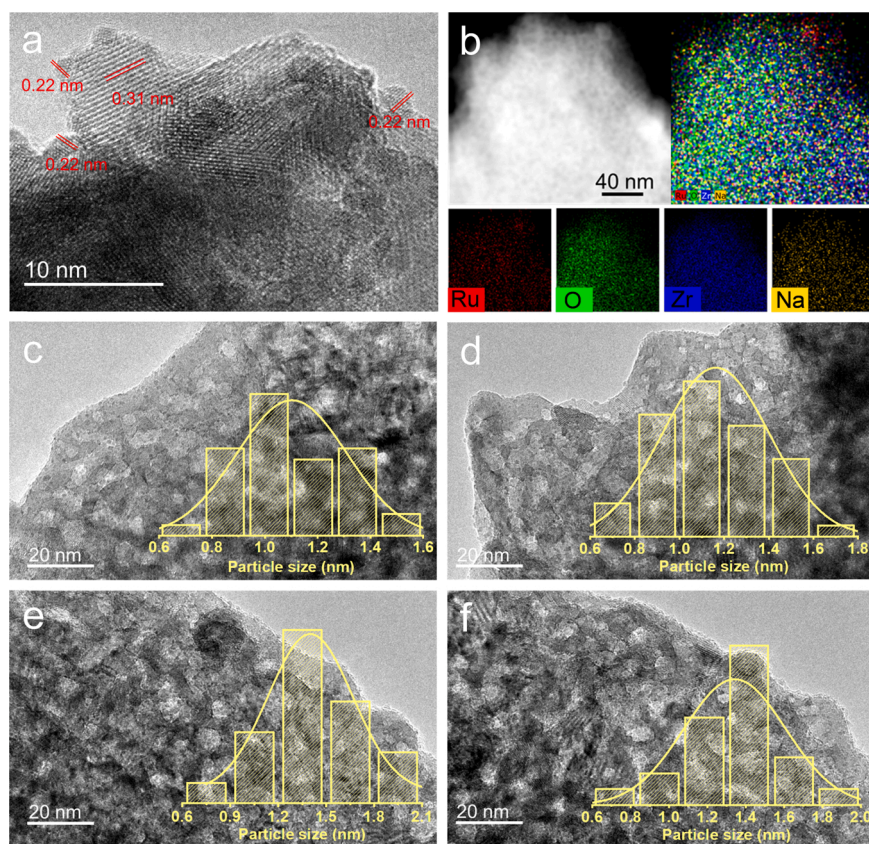
were analyzed and shown in Fig. 1b-d. The XPS spectra of Zr 3d in Ru/ZrO<sub>2</sub> shows two characteristic peaks at 182.0 and 184.5 eV (Fig. 1d), which assigned to Zr 3d<sub>5/2</sub> and Zr 3d<sub>3/2</sub> of Zr<sup>4+</sup> [28,29]. The peaks centered at 531.8 and 530.1 eV are ascribed to lattice oxygen of ZrO<sub>2</sub> (Fig. 1c) [30]. The binding energies of Zr 3d in alkali metal promoted Ru/ZrO<sub>2</sub> are nearly unchanged comparing with the alkali metal free Ru/ZrO<sub>2</sub>. Additionally, the binding energies of O 1s in alkali promoted Ru/ZrO<sub>2</sub> all shift towards lower energy levels slightly. In Ru/ZrO<sub>2</sub>, the peaks at 463.4 and 485.6 eV are assigned to Ru 3p<sub>3/2</sub> and Ru 3p<sub>1/2</sub> of Ru<sup>0</sup> species (Fig. 1b) [31]. With the addition of alkali metals, the binding energies of Ru 3p orbital all shift to lower binding energies with the shift value increasing gradually by the sequence of Li, Na, and K. Consequently, the alkali metal promoters on ZrO<sub>2</sub> support may transfer electrons to Ru. The electron enrichment of Ru could affect the adsorption and reaction ability of intermediates. Hence, the performance of CO<sub>2</sub> hydrogenation could be regulated. It is concluded that the electron density of Ru centers and O in ZrO<sub>2</sub> is enriched by the donation effect of alkali metals, which agrees with the previous result [32].

Typical morphology and crystalline nature of Ru/ZrO<sub>2</sub> and alkali metal promoted Ru/ZrO<sub>2</sub> are explored by TEM examinations. In Fig. 2a

and Fig. S2, the lattice spacing of ca. 0.30 nm in Ru/ZrO<sub>2</sub> and alkali metal promoted Ru/ZrO<sub>2</sub> matches well with the (101) plane of tetragonal ZrO<sub>2</sub> [33]. This is consistent with the observation of XRD characterization in Fig. 1a. An inter-planar lattice spacing of 0.22 nm is assigned to the hcp-Ru structure [34]. The morphology of these catalysts shows similar stack structure with Ru particles scattered on the surface of ZrO<sub>2</sub>. The particle size of Ru in Ru/ZrO<sub>2</sub> and three alkali metal promoted Ru/ZrO<sub>2</sub> has comparable average diameters of ca. 1.10–1.40 nm (Fig. 2c-f and Table 1). And Ru/Na-ZrO<sub>2</sub> after cycle experiments shows no obvious change in the crystal phase of ZrO<sub>2</sub> and particle size of Ru (Fig. S4c). The EDX element mapping confirm the uniformly dispersion of Ru and Na species in Ru/Na-ZrO<sub>2</sub> (Fig. 2b). In brief, the crystallite size of Ru is not affected obviously by the addition of alkali metals.

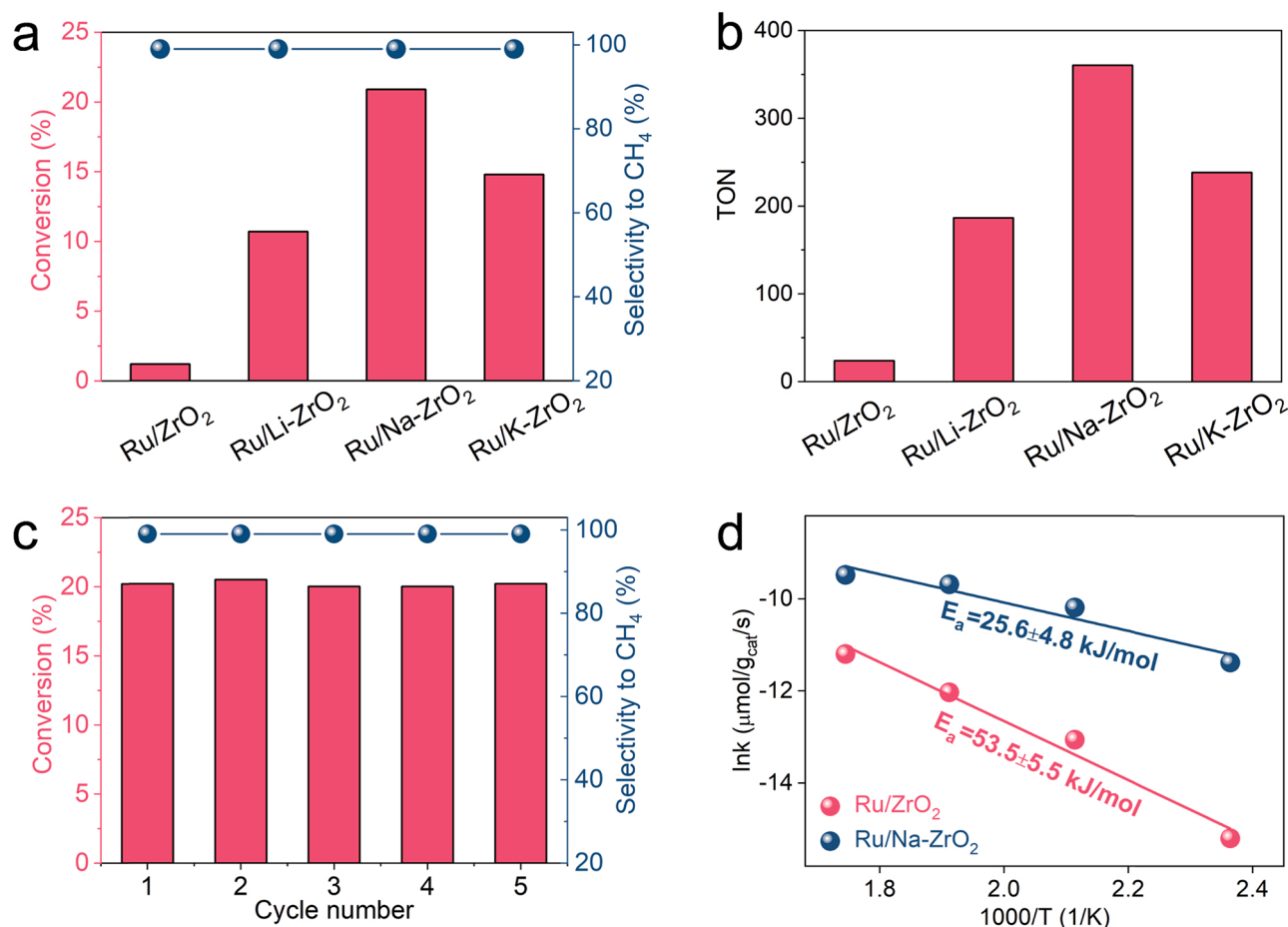
### 3.2. Catalytic performance of Ru/ZrO<sub>2</sub> and alkali metal promoted Ru/ZrO<sub>2</sub>

Hydrogenation of CO<sub>2</sub> was performed using Ru/ZrO<sub>2</sub> and Ru/M-ZrO<sub>2</sub> (M=Li, Na and K) under sets of conditions. Typically, the reaction was performed in a 100 mL stainless-steel autoclave under the pressure of 1.0 MPa (H<sub>2</sub>:CO<sub>2</sub> = 3:1) at 200 °C for 1.5 h. To explore the effects of alkali metal promoters, the conversion and selectivity in CO<sub>2</sub> methanation process over Ru/ZrO<sub>2</sub> and various alkali metal promoted Ru/ZrO<sub>2</sub> were analyzed. As indicated in Fig. 3a, the conversion over Ru/ZrO<sub>2</sub> was only 1.2% under the given reaction condition. Additionally, no conversion of CO<sub>2</sub> was observed in the case of merely ZrO<sub>2</sub>. Notably, the conversion over alkali metal promoted Ru/ZrO<sub>2</sub> are all highly improved comparing with the unpromoted Ru/ZrO<sub>2</sub>. Particularly, the conversion over Ru/Na-ZrO<sub>2</sub> (20.9%) is more than an order of magnitude higher than Ru/ZrO<sub>2</sub> (1.2%) and is also higher than the Li or K promoted ones (10.7% and 14.8%). In addition, the activity order of different alkali metal promoted Ru/ZrO<sub>2</sub> under H<sub>2</sub>:CO<sub>2</sub> = 4:1 agrees with the results in



**Fig. 2.** (a) HRTEM images of Ru/Na-ZrO<sub>2</sub>. (b) EDX mapping images of Ru/Na-ZrO<sub>2</sub>. TEM images of (c) Ru/ZrO<sub>2</sub>, (d) Ru/Li-ZrO<sub>2</sub>, (e) Ru/Na-ZrO<sub>2</sub>, and (f) Ru/K-ZrO<sub>2</sub>. The inset is the corresponding Ru particle size distribution.





**Fig. 3.** CO<sub>2</sub> conversion (a) and TON (b) of Ru/ZrO<sub>2</sub> and alkali metal promoted samples under 200 °C. (c) Recycling experiments results of Ru/Na-ZrO<sub>2</sub>. (d) Arrhenius plot of CO<sub>2</sub> conversion over Ru/ZrO<sub>2</sub> and Ru/Na-ZrO<sub>2</sub>.

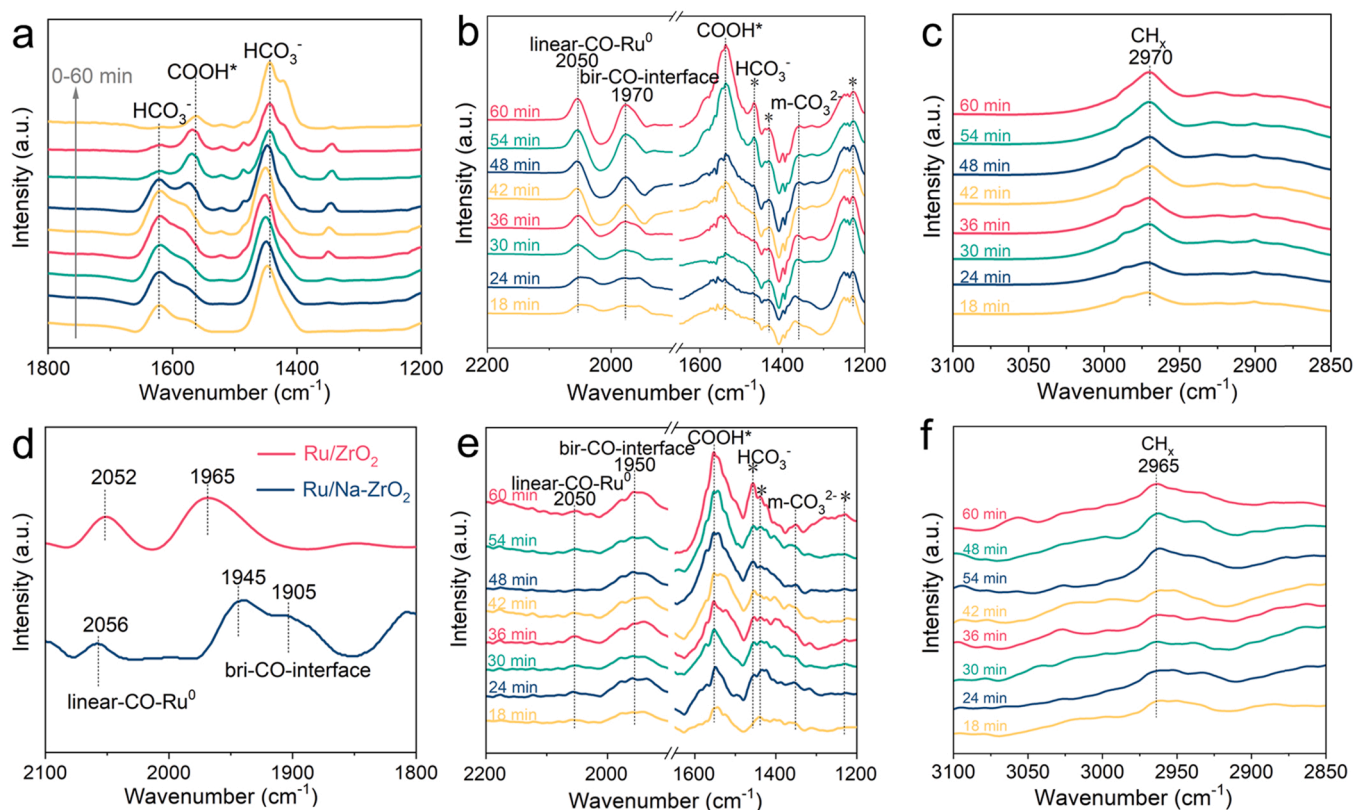
H<sub>2</sub>:CO<sub>2</sub> = 3:1 (Fig. S17). In the cycling experiment, Ru/Na-ZrO<sub>2</sub> did not exhibit apparent activity loss after five runs (Fig. 3c). The conversion of Ru/Na-ZrO<sub>2</sub> (20.9% under 1 MPa at 200 °C) is higher than the reported conversion of Ru/ZrO<sub>2</sub> (11.3% under 4 MPa at 200 °C with the same H<sub>2</sub>:CO<sub>2</sub> molar ratio) at much higher pressure under stainless-steel autoclave system [35]. The turnover number (TON) of the focus catalysts were analyzed based on the dispersion of Ru (calculation details seeing Table S12). As shown in Fig. 3b, the TON of Ru/ZrO<sub>2</sub> and Ru/M-ZrO<sub>2</sub> (M=Li, Na, and K) under 200 °C is 23.8, 186.7, 360.4, and 238.3, respectively. The TON of Ru/Na-ZrO<sub>2</sub> is higher than the reported values of Rh/CeO<sub>2</sub> (TON=221) in stainless-steel autoclave system under similar reaction conditions (CO<sub>2</sub>:H<sub>2</sub> = 1:3, 4 MPa, 200 °C) [36]. Under lower (150 °C) and higher temperatures (250 °C), the alkali metals promoted Ru/ZrO<sub>2</sub> samples show similar activity sequence which are all higher than the unpromoted Ru/ZrO<sub>2</sub> (Fig. S12). Further, the content of Na in Ru/Na-ZrO<sub>2</sub> was optimized. Fig. S4a shows that the highest conversion was achieved in Ru/1.0Na-ZrO<sub>2</sub>. The conversion decreased when the Na content is higher or lower than 1.0 wt%. The selectivity to methane is 99% in all catalysts and less affected by the modification of alkali metals.

Further, the conversion of CO<sub>2</sub> at different temperatures on Ru/ZrO<sub>2</sub> and Ru/Na-ZrO<sub>2</sub> was collected. In Fig. S4b, the conversion of CO<sub>2</sub> over Ru/Na-ZrO<sub>2</sub> is higher than Ru/ZrO<sub>2</sub> under the tested temperatures (150–300 °C). The conversion of CO<sub>2</sub> over Na-promoted Ru/ZrO<sub>2</sub> increases sharply with the temperature increase. In order to understand the origin of the sharp increase of conversion by Na modification, the kinetic curve was obtained (Fig. 3d). The apparent activation barrier of CO<sub>2</sub> methanation over Na-promoted and Na-free Ru/ZrO<sub>2</sub> was calculated based on the reaction rate under different reaction temperatures by

the Arrhenius equation. Obviously, the apparent activation energy of Ru/Na-ZrO<sub>2</sub> (25.6 ± 4.8 kJ/mol) is much smaller than that of Ru/ZrO<sub>2</sub> (53.5 ± 5.5 kJ/mol). The drop in apparent activation energy indicates that the activity improvement is caused by the improved efficiency of active sites upon Na promotion. Hereafter, the promotional effects of Na were investigated in details.

### 3.3. Probing the hydrogenation process

To further understand the surge improvement of activity upon Na addition, the CO<sub>2</sub> reduction process over Ru/ZrO<sub>2</sub> and Ru/Na-ZrO<sub>2</sub> was explored by in-situ DRIFTS. The samples were pretreated by Ar atmosphere at 200 °C for 2 h. Then, CO<sub>2</sub>/H<sub>2</sub> mixture was introduced into the sample cell to probe the adsorption behavior on the ZrO<sub>2</sub> support. In the absence of H<sub>2</sub> dissociation sites, CO<sub>2</sub> is adsorbed with the appearance of bands at 1620, 1560, and 1440 cm<sup>-1</sup> (Fig. 4a). The bands at 1620 and 1440 cm<sup>-1</sup> are attributed to bicarbonate species (HCO<sub>3</sub><sup>-</sup>) while the band centered at 1560 cm<sup>-1</sup> is identified as carboxylate species (COOH<sup>-</sup>) [37, 38]. Dissociation of CO<sub>2</sub> to CO does not occur under this condition owing to the absence signals of CO\* at 1900–2100 cm<sup>-1</sup> (Fig. S5). With the addition of Ru, the bands of CO species appear, which indicate the dissociation of CO<sub>2</sub> happens (Fig. 4b). CO<sub>2</sub>-TPD was also conducted to explore the adsorption behavior of CO<sub>2</sub> on Ru/ZrO<sub>2</sub> and Ru/Na-ZrO<sub>2</sub> [39]. In Fig. S6, the addition of Na mainly increases the intensity of CO<sub>2</sub> on the medium basic sites (ca. 320 °C, corresponding to bidentate carbonates species). The intensity at lower temperature (ca. 150 °C, corresponding to bicarbonate species) was not superior. In the light of the in-situ DRIFTS results (Fig. 4b and e), the band intensity of carbonates species (1350–1370 cm<sup>-1</sup>) shows little change during the CO<sub>2</sub>



**Fig. 4.** (a) Time-dependent in-situ DRIFT spectra of ZrO<sub>2</sub> under flowing of CO<sub>2</sub>/H<sub>2</sub> mixture. Time-dependent in-situ DRIFT spectra of Ru/ZrO<sub>2</sub> (b and c) and Ru/Na-ZrO<sub>2</sub> (e and f) with flowing of CO<sub>2</sub>/H<sub>2</sub> mixture. (d) DRIFT spectra of Ru/ZrO<sub>2</sub> and Ru/Na-ZrO<sub>2</sub> with flowing of 3%CO in Ar.

methanation process, which could be the spectator of the hydrogenation process. The improved adsorption of CO<sub>2</sub> at medium basic sites (as carbonates species) may not be the decisive factor for the high conversion of CO<sub>2</sub>. Therefore, the promotion effect of Na is not likely due to the enhanced CO<sub>2</sub> chemisorption as proposed by Liu et al. [40].

In the in-situ DRIFTS results, we focus on the bands at 1200–2200 cm<sup>-1</sup> because the key reaction intermediates are detected in this range [41]. For Ru/ZrO<sub>2</sub> (Fig. 4b and c), the bands lower than 1600 cm<sup>-1</sup> are identified as carboxylate (1540 cm<sup>-1</sup>), bicarbonate species (1463, 1430, and 1230 cm<sup>-1</sup>), and monodentate carbonate species (1370 cm<sup>-1</sup>) with the injection of CO<sub>2</sub> and H<sub>2</sub> mixture [42,43]. The high increasing intensity of band at ca. 1540 cm<sup>-1</sup> indicates the dominant adsorption mode of CO<sub>2</sub> is carboxylate on Ru/ZrO<sub>2</sub> surface, which may be the precursor of CO intermediate. The bands at 1970 and 2050 cm<sup>-1</sup> are attributed to bridge adsorbed CO at the metal-support interfacial sites (bri-CO-interfacial) and linearly bonded CO on Ru<sup>0</sup> sites (linear-CO-Ru<sup>0</sup>), respectively [14,44,45]. As indicate in Fig. 4b, the signal of linearly bonded CO on Ru<sup>0</sup> sites is higher than bridge CO at interfacial sites. In Fig. 4c, the band at 2970 cm<sup>-1</sup> is assigned to C-H vibrations frequency of alkane species (CH<sub>x</sub> \*) [46], indicating the generation of methane. From the above evidences, CO<sub>2</sub> methanation proceed through the dissociation of CO<sub>2</sub> \* to CO\* following by hydrogenation of CO\* to CH<sub>x</sub> \* and then CH<sub>4</sub>.

Over Ru/Na-ZrO<sub>2</sub> surface (Fig. 4e and f), carboxylate (1550 cm<sup>-1</sup>), bicarbonate species (1458, 1432, and 1230 cm<sup>-1</sup>), and monodentate carbonate species (1350 cm<sup>-1</sup>) were also detected similar to that in Ru/ZrO<sub>2</sub> with the flowing of gas mixture. In striking contrast, bands belong to adsorbed CO are mainly centered at 1950 cm<sup>-1</sup> with rather weak peak intensity at 2050 cm<sup>-1</sup>, indicating the major adsorption mode of CO is changed into bridge adsorption at the metal-support interfacial sites over Na promoted Ru/ZrO<sub>2</sub> surface. Therefore, the addition of Na could change the adsorption of CO intermediate. Afterwards, DRIFTS with flowing of CO (3%CO in Ar) was performed to explore the adsorption

behavior of CO on Ru/ZrO<sub>2</sub> and Ru/Na-ZrO<sub>2</sub> surface (Fig. 4d). On Ru/ZrO<sub>2</sub>, the band intensity of bridge adsorbed CO on the interfacial sites at 1965 cm<sup>-1</sup> is comparable to the band intensity of linearly adsorbed CO on Ru<sup>0</sup> sites at 2052 cm<sup>-1</sup>. However, over Ru/Na-ZrO<sub>2</sub> surface, the band intensity of bridge adsorbed CO at lower frequency (1905 and 1945 cm<sup>-1</sup>) is much higher than the linearly adsorbed CO at Ru<sup>0</sup> (at 2056 cm<sup>-1</sup>). This phenomenon verifies the results of the in-situ DRIFTS under the reaction atmosphere over the two catalysts, indicating that the adsorption behavior of CO on Ru/ZrO<sub>2</sub> is perturbed by the addition of Na indeed. Besides, owing to the discrepancy in the reaction atmosphere over the two measurements (CO<sub>2</sub>/H<sub>2</sub> mixture or CO), the bands positions and relative bands intensity of CO are not completely consistent. However, it does not obstruct the qualitative comparison of the CO adsorption behavior. In addition, the DRIFT spectra of CO adsorption under vacuum also indicates that the addition of Na increases the adsorption of CO on the interfacial Ru site (Fig. S13). It could be observed from the CO-TPD spectra that the modification of Na enhances the adsorption strength of strong adsorbed CO on Ru sites (Fig. S14). Further, the discrepancy in CO adsorption under the reaction atmosphere was also observed on Li/K promoted Ru/ZrO<sub>2</sub> under reaction atmosphere (Fig. S7). The bands intensity at ca. 1950 cm<sup>-1</sup> are higher than that centered at 2050 cm<sup>-1</sup> in Li and K promoted Ru/ZrO<sub>2</sub>. But the difference is not as obvious as the Na promoted catalyst. Although changes of CO adsorption behavior by the modification of alkali metals are observed in the DRIFTS, the infrared spectrum is not an optimal choice for the quantitative analysis of CO adsorption. Density functional theory (DFT) calculations could provide atomic level information about the modified surface, which is conducive to tracking and understanding the resulting changes in the adsorption and reaction behavior of intermediates in the catalytic process. Consequently, aiming to further identify the effect of alkali metal addition on the behavior of Ru/ZrO<sub>2</sub> in CO<sub>2</sub> hydrogenation, DFT calculations were performed.

### 3.4. DFT calculations

To identify the optimum adsorption configurations of Na on Ru/ZrO<sub>2</sub> surface, the adsorption of Na on various sites of Ru/ZrO<sub>2</sub> are examined. The adsorption configurations and corresponding adsorption energies are shown in Fig. S8 and Table S2. The most preferable adsorption site of Na is the O-hollow site of ZrO<sub>2</sub> close to the Ru cluster (Fig. 5a and b). Bader charge analysis shows that the atomic net charge of Na in Ru/Na-ZrO<sub>2</sub> is 0.85 |e|, indicating that Na is an electron donor in Ru/Na-ZrO<sub>2</sub> [47].

Further charge analysis shows that both the O atoms in the ZrO<sub>2</sub> support and the Ru center are electron acceptors, with the latter being the dominant one. The total charge of the top layer O atoms in Ru/Na-ZrO<sub>2</sub> is 0.2 |e| higher than that in Ru/ZrO<sub>2</sub>, indicating the electron enrichment of the top layer O atoms with Na promotion (Fig. S9 and Table S3). On the other hand, the charge of the Ru cluster is  $-0.32$  |e| in Ru/ZrO<sub>2</sub>, and  $-0.75$  |e| in Ru/Na-ZrO<sub>2</sub> (Table S3). Therefore, the Ru cluster is also more electron-rich on Na promoted Ru/ZrO<sub>2</sub> surface than the unpromoted one. This prediction is in accordance with the negative shift of the binding energies of Ru 3p and O 1s in the XPS spectra (Fig. 1b and c).

Moreover, besides Na promotion, the charge of the Ru clusters in Li and K promoted Ru/ZrO<sub>2</sub> are also increased, being  $-0.66$  |e| and  $-0.79$  |e|, respectively. The predicted trend of electron enrichment of Ru center upon different alkali metal promotion (Li-promoted < Na-promoted < K-promoted) coincides with the experimental trend, which is signaled by the extent of binding energy shift of Ru 3p orbital in the XPS spectra of Fig. 1b. However, this trend disagrees with the observed trend of catalytic activity (Li-promoted < K-promoted < Na-promoted). The best activity is achieved in Na promoted Ru/ZrO<sub>2</sub>. Therefore, the electron enrichment of Ru cluster is not the decisive factor for the activity difference upon different alkali metals addition.

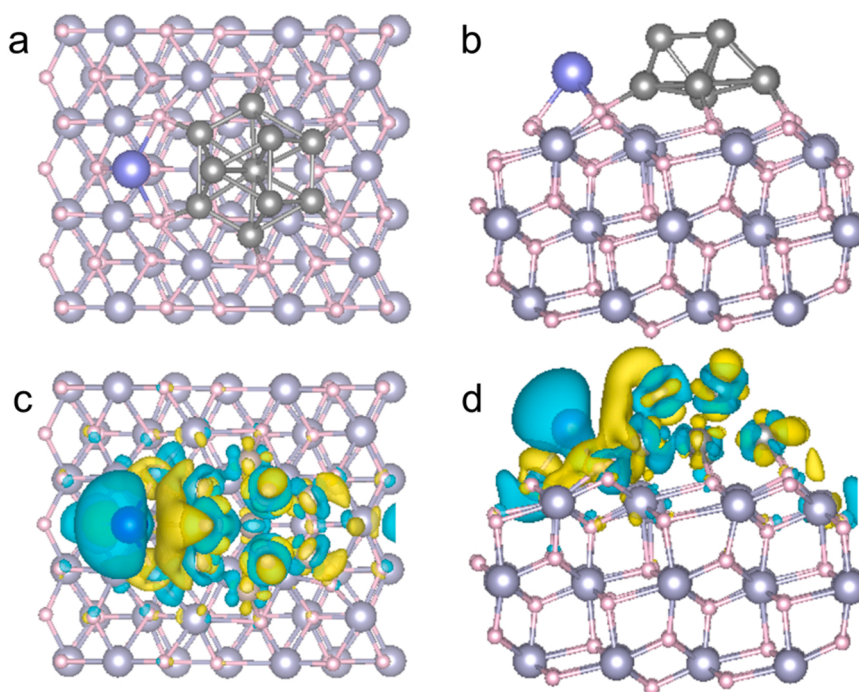
To further identify the electronic effects of Na, the charge density difference plots are provided in Fig. 5c and d. It is clear that charge transfers from Na to Ru/ZrO<sub>2</sub>, leading to a more electron-rich interface which could potentially serve as active sites for CO<sub>2</sub> methanation

process. Besides, no obvious charge redistribution between the Ru cluster and the ZrO<sub>2</sub> support are observed with the addition of Na (Fig. S10). Therefore, the enriched charge density at the interfacial region of Ru/ZrO<sub>2</sub> with Na addition is mainly caused by the direct charge transfer from Na.

Further, the adsorption behavior of CO<sub>2</sub> and CO on Ru/ZrO<sub>2</sub> and Ru/Na-ZrO<sub>2</sub> was investigated. CO<sub>2</sub> can be adsorbed both on Ru cluster and at the Ru/ZrO<sub>2</sub> interface (Fig. 6a). On the Ru cluster, CO<sub>2</sub> has a tridentate adsorption state (top-Ru), whereas at the Ru/ZrO<sub>2</sub> interface, the adsorption of CO<sub>2</sub> may coordinate with either two interfacial Ru sites (int-Ru-Ru) or with one Ru and one Zr (int-Ru-Zr). With the addition of Na, the adsorption strength of CO<sub>2</sub> at top-Ru and int-Ru-Ru sites increased slightly by 0.18 eV and 0.30 eV, respectively. Notably, however, the adsorption at the int-Ru-Zr site increased by 1.38 eV with Na promotion. Therefore, the Na promotion mainly increased the adsorption strength of CO<sub>2</sub> at the Ru/ZrO<sub>2</sub> interfacial sites.

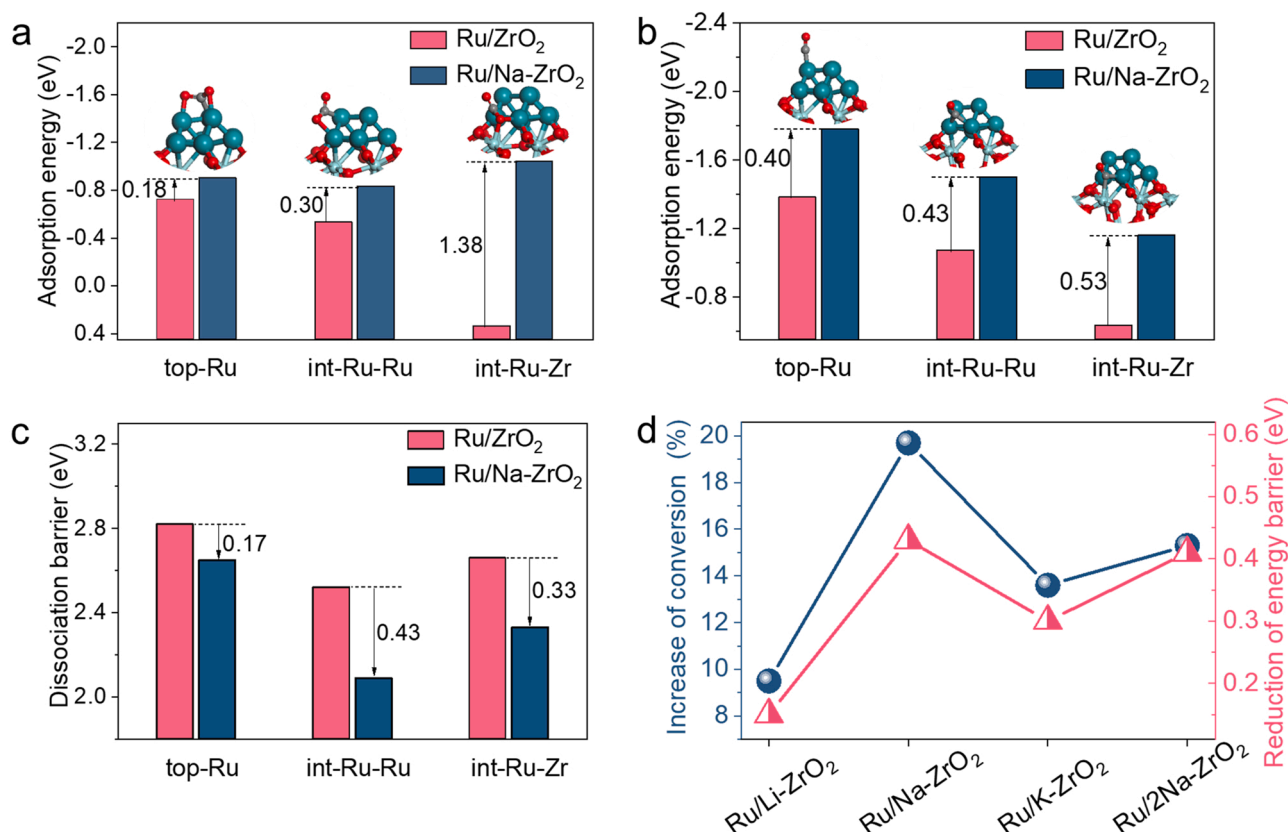
Similarly, a remarkable enhancement of the adsorption at the interfacial sites for the CO intermediate was also discovered. (Fig. 6b). Herein, only the adsorptions of CO on top-Ru site and near interfacial sites (int-Ru-Ru, and int-Ru-Zr) are considered, as signaled by the evidences of DRIFTS spectra in Fig. 4. Consistent with the DRIFTS signals, CO adsorption on top-Ru site takes a linear configuration, whereas its adsorptions at both int-Ru-Ru site and int-Ru-Zr sites have a bridge configuration. With the addition of Na, the increase of adsorption energy on these three sites are 0.40 eV, 0.43 eV, and 0.53 eV, respectively. Apparently, the adsorption strength of CO near the interfacial sites increases more significantly with the modification of Na, particularly with the Ru-Zr bridge adsorption configuration. The calculated CO adsorption behavior provides further evidence, in addition to the observed in-situ DRIFTS results, that Na promoted is conducive to the adsorption of bridge CO near the interfacial sites of Ru/ZrO<sub>2</sub>.

It has been reported that the cleavage of the second C-O bond of CO<sub>2</sub> is the rate-limiting step in CO<sub>2</sub> methanation over Ru based catalyst [48]. To confirm this, the energy barriers for cleaving the C-O bond of CO<sub>2</sub> and CO on different sites of Ru/ZrO<sub>2</sub> are calculated. Results show that the energy barriers for cleaving the C-O bond of CO<sub>2</sub> (0.41–1.12 eV) are



**Fig. 5.** Stable adsorption site of Na on Ru/ZrO<sub>2</sub> in top (a) and side view (b). Charge density difference plot ( $\Delta\rho_1$ ) of Na in Ru/Na-ZrO<sub>2</sub> with the isovalue value of 0.008 e/Å<sup>3</sup> in top (c) and side view (d). The yellow region represents charge accumulation while blue region indicates charge depletion. The ball in gray, pink, purple, light purple represents Ru, O, Na, and Zr atoms.





**Fig. 6.** Adsorption configurations and adsorption energies of CO<sub>2</sub> (a) and CO (b) on Ru/ZrO<sub>2</sub> and Ru/Na-ZrO<sub>2</sub> (The adsorption energies are provided in Table S5 and S6). (c) Dissociation barriers of CO on Ru/ZrO<sub>2</sub> and Ru/Na-ZrO<sub>2</sub> (seeing Table S7). (d) Correlation of the reduction of CO dissociation barrier on the site with lower CO dissociation barrier and the increase of CO<sub>2</sub> conversion over Ru/ZrO<sub>2</sub> and alkali metal promoted Ru/ZrO<sub>2</sub>.

much lower than that in CO (2.52–2.82 eV) (Table S4 and Table S7). Therefore, the high energy cost in cleaving the C–O bond of CO determines the activity of CO<sub>2</sub> methanation over Ru/ZrO<sub>2</sub>, and deserves further analysis.

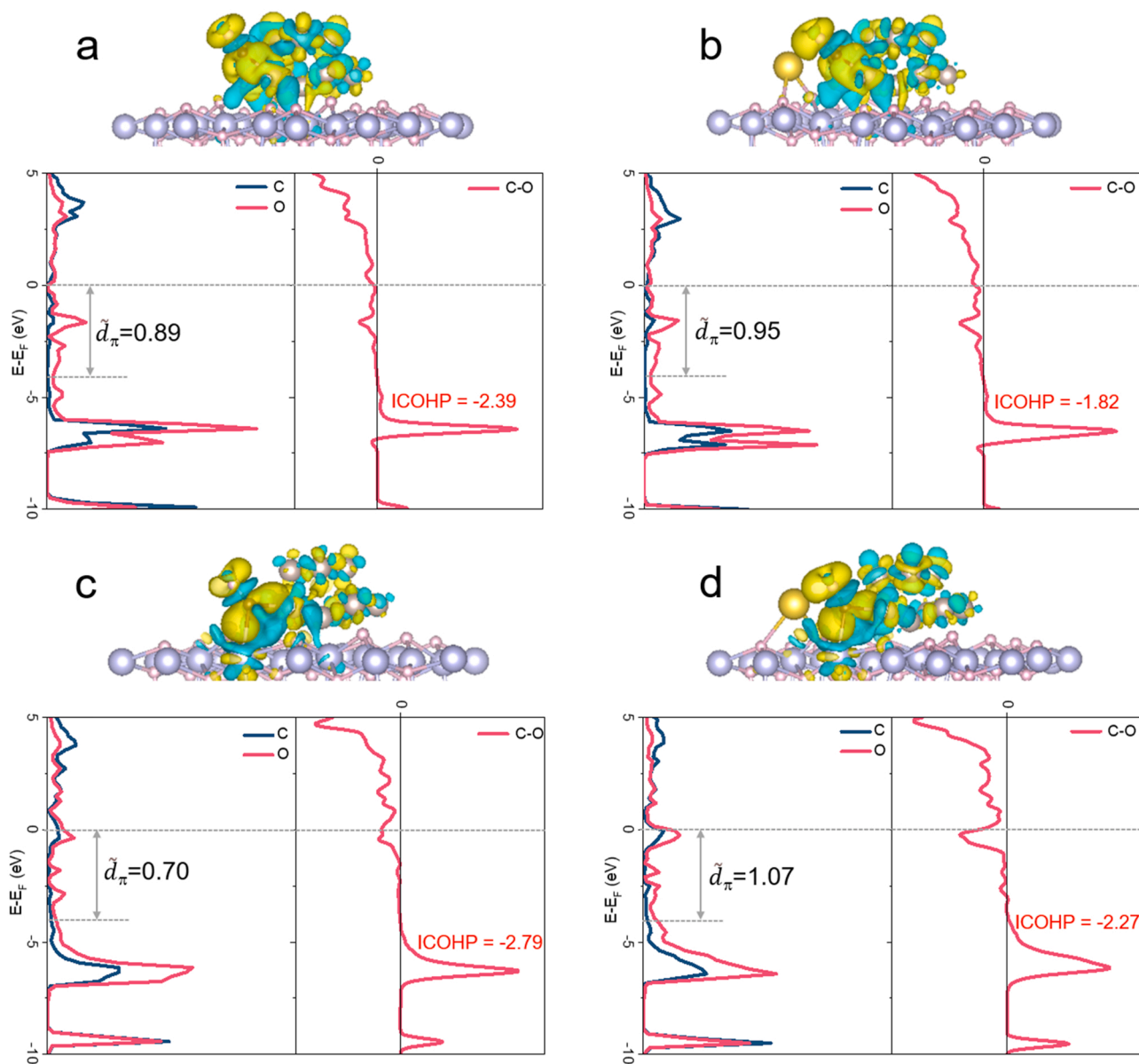
On unpromoted Ru/ZrO<sub>2</sub>, CO on the top-Ru site has an extremely high dissociation barrier of 2.8 eV. Thus, although it is a favorable site for CO adsorption, the methanation reaction is unlikely to happen on this site. The two types of interfacial sites (int-Ru-Ru and int-Ru-Zr site) have similar barrier for CO dissociation, both are lower than the top-Ru site (Table S7). Projected density of states (PDOS) and Crystal Orbital Hamilton Populations (COHP) analysis shows that the C–O bond can be weakened most significantly on these interfacial sites, reflected by the increasing population of CO  $\pi$ -metal  $d\pi$  hybrid band ( $\sigma$ ) in pDOS and the weakened C–O bond in the integrated crystal orbital Hamilton population (ICOHP) (Fig. 7a and c, and Fig. S11a). With Na modification, the dissociation barrier of C–O bond of linearly adsorbed CO on top-Ru site of Ru/Na-ZrO<sub>2</sub> only decreases by 0.17 eV. At int-Ru-Zr site, the barriers decrease by 0.33 eV. It is worth noting that the int-Ru-Ru site features the most significant decline of the CO dissociation barrier by 0.43 eV (Fig. 6c). To further trace the origin of the drastic decline in CO dissociation barriers on int-Ru-Ru and int-Ru-Zr site by Na modification, the electronic structure of the absorbed CO is analyzed. Comparing with the unpromoted surface, there is less charge deficiency in the absorbed CO on the interfacial sites of Na promoted surface (net charge from 0.26 |e| to 0.29 |e| on top-Ru site, from 0.39 |e| to 0.59 |e| on int-Ru-Ru site and from 0.53 |e| to 0.82 |e| on int-Ru-Zr site, seeing Table S9), indicating a stronger electron back-donation from the interfacial sites to the absorbed CO. Moreover, the population of  $d\pi$  band, which is responsible for the electron back-donation to CO, increases with Na addition (Fig. 7). These evidences confirm the enhancement of electron back-donation from the int-Ru-Ru and int-Ru-Zr site to the absorbed CO, promoting

the activation of the absorbed CO [49]. Therefore, the Na promotion most likely works by reducing the dissociation barrier of the CO intermediate on the interfacial sites of Ru/ZrO<sub>2</sub> through the enhancement of the electron back-donation.

According to the previous reports of the supported Ru catalysts on metal oxide supports [50–52], the interfacial sites play vital roles in the adsorption and activation of CO intermediate in the methanation of CO<sub>2</sub>, which contributes to the catalytic activity improvement. Herein, the decrease dissociation barrier of CO at the int-Ru-Ru and int-Ru-Zr site could be important contributors to the activity increase upon the modification of alkali metals (Fig. S18). Therefore, the reduction of CO dissociation barrier on the site with lower energy barrier should be a more reasonable indicator in assessing the activity of different alkali metal promoted Ru/ZrO<sub>2</sub>. The reduction of CO dissociation barrier on the site with lower CO dissociation barrier were plotted against the experimental conversion increase of CO<sub>2</sub> (Fig. 6d and Table S8). Remarkably, the increase in the conversion of CO<sub>2</sub> on different alkali metal promoted Ru/ZrO<sub>2</sub> is found to be related to the decrease of CO dissociation barriers at the interfacial site. Therefore, the dissociation barrier of CO at the interfacial site could be used as an indicator in assessing the CO<sub>2</sub> methanation activity of alkali metals promoted Ru/ZrO<sub>2</sub>. The difference in the reduction of CO dissociation barrier upon Li, Na, and K promotion could be explained by the difference in the enhancement of the electron back-donation effect from the interfacial sites to the absorbed CO (Table S10 and Fig. S16).

#### 4. Conclusions

To reveal the promotional effects of alkali metals on the CO<sub>2</sub> hydrogenation behavior of Ru/ZrO<sub>2</sub>, the performance of Ru/ZrO<sub>2</sub> and alkali metal promoted Ru/M-ZrO<sub>2</sub> (M=Li, Na, and K) are explored by



**Fig. 7.** Charge density difference isosurface of absorbed CO on int-Ru-Ru site and int-Ru-Zr site of Ru/ZrO<sub>2</sub> (a and c) and Ru/Na-ZrO<sub>2</sub> (b and d) with the according project density of states (pDOS) and projected crystal orbital Hamilton population (pCOHP) curves shown below.

experimental and theoretical measurements. The charge density of Ru in Ru/ZrO<sub>2</sub> increases by the order of unpromoted- < Li-promoted < Na-promoted < K-promoted, while the highest activity is achieved in Na-promoted ones. By probing the CO<sub>2</sub> hydrogenation process over Ru/ZrO<sub>2</sub> and alkali metal promoted Ru/M-ZrO<sub>2</sub>, the promotional effects of alkali metals on the electronic structure and evolution of intermediates were revealed. We have proven that the alkali metals enrich the charge density of the metal-support interfacial region, and the adsorption strengths of CO<sub>2</sub> and CO are both enhanced. Although the population of linearly absorbed CO on top Ru site is higher than that of bridge CO near the interfacial site of the unpromoted Ru/ZrO<sub>2</sub>, both of these adsorptions have high dissociation barriers. It is noted that the bridge absorbed CO near the int-Ru-Ru and int-Ru-Zr site is more favored with the addition of alkali metals with much lower dissociation barriers, which originate from the enhanced electron back-donation from the interfacial Ru sites to the absorbed CO. The increase in the conversion of CO<sub>2</sub> on different alkali metals promoted Ru/ZrO<sub>2</sub> is found to be more related to the enhanced back-donation to CO at the local interfacial sites, rather than the enriched charge density of Ru cluster as a whole. These findings

may shed light on the reaction mechanism of CO<sub>2</sub> hydrogenation and guide the design of highly efficient catalysts by alkali metal promoters.

#### CRediT authorship contribution statement

**Mengting Gao:** Conceptualization, Methodology, Investigation, Writing – original draft, Visualization, Software. **Jin Zhang:** Investigation. **Pengqi Zhu:** Writing – review & editing. **Xingchen Liu:** Writing – review & editing, Software, Supervision. **Zhanfeng Zheng:** Writing – review & editing, Supervision.

#### Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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## Appendix A. Supporting information

Supplementary data associated with this article can be found in the online version at doi:10.1016/j.apcatb.2022.121476.

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